

PRA RULEBOOK: CRR Firms, Non-CRR Firms, Solvency II Firms, Non-Solvency II Firms: Forms Amendment Instrument 2021**Powers exercised**

- A. The Prudential Regulation Authority (“PRA”) makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) Section 64A (Rules of conduct)
 - (2) Section 64C (Requirement for relevant authorised persons to notify regulator of disciplinary action)
 - (3) Section 66 (Disciplinary Powers)
 - (4) Section 66B (Misconduct: action by the PRA)
 - (5) Section 137G (The PRA’s general rules); and
 - (6) Section 137T (General supplementary powers).
- B. The rule-making powers referred to above are specified for the purpose of section 138G(2) (Rule-making instruments) of the Act.

Pre-conditions to making

- C. In accordance with section 138J of the Act (consultation by the PRA), the PRA consulted the Financial Conduct Authority. After consulting, the PRA published a draft of proposed rules and had regard to representations made.

PRA RULEBOOK: CRR Firms, Non-CRR Firms, Solvency II Firms, Non-Solvency II Firms: Forms Amendment Instrument 2021

- D. The PRA makes the rules in the Annex to this instrument.

Commencement

- E. This instrument come into force on 22 January 2021.

Citation

- F. This instrument may be cited as the PRA Rulebook: CRR Firms, Non-CRR Firms, Solvency II Firms, Non-Solvency II Firms: Forms Amendment Instrument 2021.

By order of the Prudential Regulation Committee

14 January 2021

**Annex
Amendments to Notifications Part**

In this Annex new text is underlined and deleted text is struck through.

10 FORMS

...

10.3 The Notification Form can be found ~~here~~ [here](#).

11 CONDUCT RULES: NOTIFICATIONS

...

11.9 ...

(3) Form L may be found ~~here~~ [here](#).