

Destination table

Please note:

- (i) The table states the rule which incorporates the provisions of the relevant Article, including
 - a. rules which are already in the PRA Rulebook which have been amended;
 - b. rules which are already in the PRA Rulebook which already cover the obligations under the relevant Article.
- (ii) The text of the relevant Article may be subject to minor amendments, which are not noted in this table. This includes
 - a. amendments such as those made to adapt the text to PRA Rulebook style and to the scope of the applicable Rulebook Part
 - b. amendments to cross references to EU legislation and terminology to refer to the corresponding assimilated law (including to other rules and defined terms in the PRA Rulebook).

MiFID org rule Articles	PRA Rulebook Part	Rule
Article 21: General organisational requirements		
Paragraph 1	General Organisational Requirements	2.1, 2.2
Paragraph 2	General Organisational Requirements	2.4
Paragraph 3	General Organisational Requirements	2.6A
Paragraph 4	General Organisational Requirements	2.7A
Paragraph 5	General Organisational Requirements	2.8
Article 22: Compliance		
Paragraph 1	Compliance and Internal Audit	2.1A
Paragraph 2	Compliance and Internal Audit	2.1B, 2.1C
Paragraph 3	Compliance and Internal Audit	2.1D
Paragraph 4	Compliance and Internal Audit	2.1E

Article 23: Risk management		
Paragraph 1	Risk Control	2.2C
Paragraph 2	Risk Control	2.2D
Article 24: Internal audit	Compliance and Internal Audit	3.3, 3.4
Article 25: Responsibility of senior management		
Paragraph 1	General Organisational Requirements	4.3,4.4 (note “governing body” for “supervisory function”) 4.5
Paragraph 2	General Organisational Requirements	4.7
Paragraph 3	General Organisational Requirements	4.8 (note “governing body” for “supervisory function”)
Article 30: Scope of critical and important operational functions	Outsourcing	1.2
Article 31: Outsourcing critical or important operational functions		
Paragraph 1	Outsourcing	2.1C
Paragraph 2	Outsourcing	2.1D
Paragraph 3	Outsourcing	2.1E
Paragraph 4	Outsourcing	2.1F
Paragraph 5	Outsourcing	2.1G
Article 72: Retention of records		
Paragraph 1	Record Keeping	2.4
Paragraph 2	Record Keeping	2.5
Paragraph 3	Record Keeping	2.6