

Bank of England

Prudential Regulation Authority

QUESTIONNAIRE ON MODIFICATION OF RULE RELATING TO QUARTERLY REPORTING (REPORTING 2.2(1) and GROUP SUPERVISION 17.3)¹

A. Type of exemption

- 1. Please tick if applying for modification of Reporting 2.2(1) from quarterly reporting as an individual firm that is not part of a group
- 2. Please tick if applying for modification of Reporting 2.2(1) from quarterly reporting as an individual firm that is part of a group
- 3. Please tick if applying for modification of Group Supervision 17.3 relating to quarterly reporting at the level of the group

B. Contact with supervisor

- 4. Have you contacted your PRA supervisor to discuss your firm's eligibility?
Note: For small firms, the supervisory contact is the PRA's Firm Enquiries Team.

Yes [] No []

C. Application for a modification of Reporting 2.2(1) relating to quarterly reporting for firms that are part of a group

- 5. If your firm is part of a group then please

- i. Provide the name and contact information for the group supervisor.

¹ Firms designated as category 3 and 4 by the PRA can apply on a solo basis for a modification by consent. Firms designated as category 1 and 2 by the PRA may be able to apply on an exceptional basis for a rule modification. Firms that are part of a group can apply on a solo and a group basis.

- ii. Provide an explanation of why you think the submission of quarterly reporting would be inappropriate given the nature, scale and complexity of the risks inherent in the business of your group.

D. Application for exemption at group level (if applying for modification of Group Supervision 17.3)

6. Please attach evidence that all insurance and reinsurance undertakings within the group have been granted an exemption as per paragraph 3.1 of Supervisory Statement SS11/15.

Please return this questionnaire and a completed standard waiver application form via email to the Waivers and EU Permissions Team at the PRA:

pra-waivers@bankofengland.co.uk

Or by post to:

Waivers and EU Permissions Team
The Prudential Regulation Authority
20 Moorgate
London
E2R 6DA
United Kingdom

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